

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Timothy Roy Block

Date: January 28, 2004

Group Art Unit:

2151

IBM Corporation

Examiner:

Unassigned

Intellectual Property Law

Serial No .:

09/718,924

Dept. 917, Bldg. 006-1

Filed:

November 22, 2000

3605 Highway 52 North

Title: APPARATUS AND METHOD FOR COMMUNICATING BETWEEN COMPUTER SYSTEMS USING A SLIDING SEND WINDOW FOR ORDERED MESSAGES IN A CLUSTERED COMPUTING

Rochester, MN 55901

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Technology Center 2100

ENVIRONMENT

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

TO 2100 Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R.

Serial No. 09/718,924 Docket No. ROC920000123US1

(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

To the best knowledge of the undersigned, this Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(3) before the mailing of a first Office action on the merits in the present application. Accordingly no filing fee is required.

Date: January 28, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450, on

January 28, 2004

(Date of Deposit)

Respectfully submitted,

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